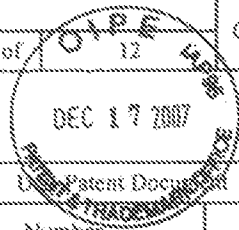


FORM PTO-1449/A and B (modified PTO/SB/08) INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICATION NO.: 10/627,331	ATTY. DOCKET NO.: C1039.70078US00
		FILING DATE: July 25, 2003	CONFIRMATION NO.: 4362
		APPLICANT: Krieg et al.	
		GROUP ART UNIT: 1648	EXAMINER: Emily M. Lo
Sheet	1	of	12



U.S. PATENT DOCUMENTS

Examiner's Initials [*]	Cite No.	U.S. Patent Document Number	Kind Code	Name of Patentee or Applicant of Cited Document	Date of Publication or Issue of Cited Document MM-DD-YYYY
		5,567,604		Rando et al.	10-22-1996
		5,576,302		Cook et al.	11-19-1996
		5,658,891		Draper et al.	08-19-1997
		5,663,153		Hutcherson et al.	09-02-1997
		5,723,335		Hutcherson et al.	03-03-1998
		5,728,518		Carmichael	03-17-1998
		5,985,662		Anderson et al.	11-16-1999
		6,221,882	B1	Macfarlane	04-24-2001
		6,399,630	B1	Macfarlane	06-04-2002
		6,479,504	B1	Macfarlane et al.	11-12-2002
		6,503,533	B1	Korba et al.	01-07-2003
		6,521,637	B2	Macfarlane	02-18-2003
		6,727,230	B1	Hutcherson et al.	04-27-2004
		6,821,957	B1	Krieg et al.	11-23-2004
		6,943,240	B2	Bauer et al.	09-13-2005
		6,949,520	B1	Hartmann et al.	09-27-2005
		7,001,890	B1	Wagner et al.	02-21-2006
		7,223,741	B2	Krieg	05-29-2007
		7,271,156	B2	Krieg et al.	07-18-2007
		2001-0044416	A1	McCluskie et al.	11-22-2001
		2002-0086839	A1	Raz et al.	07-04-2002
		2002-0091097	A1	Bratzler et al.	07-11-2002
		2002-0164341	A1	Davis et al.	11-07-2002
		2002-0165178	A1	Schetter et al.	11-07-2002
		2002-0198165	A1	Bratzler et al.	12-26-2002
		2003-0026782	A1	Krieg	02-02-2003
		2003-0026801	A1	Weiner et al.	02-06-2003
		2003-0050268	A1	Krieg et al.	03-13-2003
		2003-0055014	A1	Bratzler	03-20-2003
		2003-0091599	A1	Davis et al.	05-15-2003
		2003-0100527	A1	Krieg et al.	05-29-2003
		2003-0139364	A1	Krieg et al.	07-24-2003

EXAMINER: /E.L./	DATE CONSIDERED: 02/17/2008
------------------	-----------------------------

^{*} EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /E.L./

FORM PTO-1449/A and B (modified PTO/SB/08) INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICATION NO.: 10/627,331		ATTY. DOCKET NO.: C1039.70078US00	
		FILING DATE: July 25, 2003		CONFIRMATION NO.: 4362	
		APPLICANT: Krieg et al.			
		GROUP ART UNIT: 1648		EXAMINER: Emily M. Le	
Sheet	2	of	12		

	2003-0148316	A1	Lipford et al.	08-07-2003
	2003-0148976	A1	Krieg et al.	08-07-2003
	2003-0166001	A1	Lipford	09-04-2003
	2003-0181406	A1	Schetter et al.	09-25-2003
	2003-0191079	A1	Krieg et al.	10-09-2003
	2003-0224010	A1	Davis et al.	12-04-2003
	2003-0232074	A1	Lipford et al.	12-18-2003
	2003-0232780	A1	Carson et al.	12-18-2003
	2003-0232856	A1	Macfarlane	12-18-2003
	2004-0006010	A1	Carson et al.	01-08-2004
	2004-0006034	A1	Raz et al.	01-08-2004
	2004-0009949	A1	Krieg	01-15-2004
	2004-0030118	A1	Wagner et al.	02-12-2004
	2004-0053880	A1	Krieg	03-18-2004
	2004-0067902	A9	Bratzler et al.	04-08-2004
	2004-0067903	A1	Krieg	04-08-2004
	2004-0087534	A1	Krieg et al.	05-06-2004
	2004-0087538	A1	Krieg et al.	05-06-2004
	2004-0092468	A1	Schwartz et al.	05-13-2004
	2004-0092472	A1	Krieg	05-13-2004
	2004-0106568	A1	Krieg et al.	06-03-2004
	2004-0131628	A1	Bratzler et al.	07-08-2004
	2004-0132685	A1	Krieg et al.	07-08-2004
	2004-0142469	A1	Krieg et al.	07-22-2004
	2004-0143112	A1	Krieg et al.	07-22-2004
	2004-0147468	A1	Krieg et al.	07-29-2004
	2004-0152649	A1	Krieg	08-05-2004
	2004-0152636	A1	Krieg et al.	08-05-2004
	2004-0152657	A1	Krieg et al.	08-05-2004
	2004-0162258	A1	Krieg et al.	08-19-2004
	2004-0162262	A1	Krieg et al.	08-19-2004
	2004-0167089	A1	Krieg et al.	08-26-2004
	2004-0171150	A1	Krieg et al.	09-02-2004
	2004-0171571	A1	Krieg et al.	09-02-2004
	2004-0181043	A1	Krieg et al.	09-16-2004
	2004-0198680	A1	Krieg	10-07-2004

EXAMINER:	DATE CONSIDERED:
/E.L./	02/17/2008

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to Applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /E.L./

FORM PTO-1449/A and B (modified PTO/SB/58) INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICATION NO.: 10/627,331		ATTY. DOCKET NO.: C1039.70078US00	
		FILING DATE: July 25, 2003		CONFIRMATION NO.: 4362	
		APPLICANT: Krieg et al.			
		GROUP ART UNIT: 1648		EXAMINER: Emily M. Le	
Sheet	3	of	12		

	2004-0198688	A1	Krieg et al.	10-07-2004
	2004-0229835	A1	Krieg et al.	11-18-2004
	2004-0234512	A1	Wagner et al.	11-25-2004
	2004-0235770	A1	Davis et al.	11-25-2004
	2004-0235774	A1	Bratzler et al.	11-25-2004
	2004-0235777	A1	Wagner et al.	11-25-2004
	2004-0235778	A1	Wagner et al.	11-25-2004
	2004-0286719	A1	McCluskie et al.	12-30-2004
	2005-0004061	A1	Krieg et al.	01-06-2005
	2005-0004062	A1	Krieg et al.	01-06-2005
	2005-0009774	A1	Krieg et al.	01-13-2005
	2005-0032734	A1	Davis et al.	02-10-2005
	2005-0032736	A1	Krieg et al.	02-10-2005
	2005-0037403	A1	Krieg et al.	02-17-2005
	2005-0037985	A1	Krieg et al.	02-17-2005
	2005-0043529	A1	Davis et al.	02-24-2005
	2005-0049215	A1	Krieg et al.	03-03-2005
	2005-0049216	A1	Krieg et al.	03-03-2005
	2005-0054601	A1	Wagner et al.	03-10-2005
	2005-0054602	A1	Krieg et al.	03-10-2005
	2005-0059619	A1	Krieg et al.	03-17-2005
	2005-0059625	A1	Krieg et al.	03-17-2005
	2005-0070491	A1	Krieg et al.	03-31-2005
	2005-0075302	A1	Hutcherson et al.	04-07-2005
	2005-0100983	A1	Bauer et al.	05-12-2005
	2005-0101554	A1	Krieg et al.	05-12-2005
	2005-0101557	A1	Krieg et al.	05-12-2005
	2005-0119273	A1	Lipford et al.	06-02-2005
	2005-0123523	A1	Krieg et al.	06-09-2005
	2005-0130911	A1	Uhlmann et al.	06-16-2005
	2005-0148537	A1	Krieg et al.	07-07-2005
	2005-0169888	A1	Hartman et al.	08-04-2005
	2005-0171047	A1	Krieg et al.	08-04-2005
	2005-0181422	A1	Bauer et al.	08-18-2005
	2005-0182017	A1	Krieg	08-18-2005
	2005-0197314	A1	Krieg et al.	09-08-2005

EXAMINER:	DATE CONSIDERED:
/E.L./	02/17/2008

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to Applicant

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /E.L./

FORM PTO-1449/A and B (modified PTO/SB/08)				APPLICATION NO.: 10/627,331		ATTY. DOCKET NO.: C1039.70078U500	
				FILING DATE: July 25, 2003		CONFIRMATION NO.: 4362	
				APPLICANT: Krieg et al.			
				GROUP ART UNIT: 1648		EXAMINER: Emily M. Le	
Sheet	4	of	12				

	2005-0215501	A1	Lipford et al.	09-29-2005
	2005-0233995	A1	Krieg et al.	10-20-2005
	2005-0233999	A1	Krieg et al.	10-20-2005
	2005-0239732	A1	Krieg et al.	10-27-2005
	2005-0239733	A1	Jurk et al.	10-27-2005
	2005-0239734	A1	Uhlmann et al.	10-27-2005
	2005-0239736	A1	Krieg et al.	10-27-2005
	2005-0244379	A1	Krieg et al.	11-03-2005
	2005-0244380	A1	Krieg et al.	11-03-2005
	2005-0245477	A1	Krieg et al.	11-03-2005
	2005-0250726	A1	Krieg et al.	11-10-2005
	2005-0256073	A1	Lipford et al.	11-17-2005
	2005-0267064	A1	Krieg et al.	12-01-2005
	2005-0277604	A1	Krieg et al.	12-15-2005
	2005-0277609	A1	Krieg et al.	12-15-2005
	2006-0003955	A1	Krieg et al.	01-05-2006
	2006-0003962	A1	Ahluwalia et al.	01-05-2006
	2006-0019916	A1	Krieg et al.	01-26-2006
	2006-0019923	A1	Davis et al.	01-26-2006
	2006-0058251	A1	Krieg et al.	03-16-2006
	2006-0089326	A1	Krieg et al.	04-27-2006
	2006-0094683	A1	Krieg et al.	05-04-2006
	2006-0140875	A1	Krieg et al.	06-29-2006
	2006-0154890	A1	Bratzler et al.	07-13-2006
	2006-0172966	A1	Lipford et al.	08-03-2006
	2006-0188913	A1	Krieg et al.	08-24-2006
	2006-0211639	A1	Bratzler et al.	09-21-2006
	2006-0211644	A1	Krieg et al.	09-21-2006
	2006-0229271	A1	Krieg et al.	10-12-2006
	2006-0241076	A1	Uhlmann et al.	10-26-2006
	2006-0246035	A1	Ahluwalia et al.	11-02-2006
	2006-0286070	A1	Hartmann et al.	12-21-2006
	2006-0287263	A1	Davis et al.	12-21-2006
	2007-0009482	A1	Krieg et al.	01-11-2007
	2007-0010470	A1	Krieg et al.	01-11-2007
	2007-0037767	A1	Bratzler et al.	02-15-2007

EXAMINER: /E.L./	DATE CONSIDERED: 02/17/2008
------------------	-----------------------------

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw box through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /E.L./

FORM PTO-1449/A and B (modified PTO/SB/08) INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICATION NO.: 10/627,331		ATTY. DOCKET NO.: C1039.70078US00	
				FILING DATE: July 25, 2003		CONFIRMATION NO.: 4362	
				APPLICANT: Krieg et al.			
				GROUP ART UNIT: 1648		EXAMINER: Emily M. Le	
Sheet	5	of	12				

		2007-0063467	A1	Krieg et al.	03-22-2007
		2007-0066553	A1	Krieg et al.	03-22-2007
		2007-0066554	A1	Krieg et al.	03-22-2007
		2007-0078104	A1	Krieg et al.	04-05-2007
		2007-0129320	A9	Davis et al.	06-07-2007
		2007-0142315	A1	Forsbach et al.	06-21-2007
		2007-0184465	A1	Wagner et al.	08-09-2007
		2007-0202128	A1	Krieg et al.	08-30-2007
		2007-0224210	A1	Krieg et al.	09-27-2007
		2007-0232622	A1	Lipford et al.	10-04-2007

FOREIGN PATENT DOCUMENTS

Examiner's Initials ⁶	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Official Country	Number	Kind Code			
	*	WO	99/56755	A1	University of Iowa Research Foundation	11-11-1999	
	*	WO	00/06588	A1	University of Iowa Research Foundation	02-10-2000	
	*	WO	00/14217	A2	CPG Immunopharmaceuticals GMBH	03-16-2000	
	*	WO	00/67023	A1	CPG Immunopharmaceuticals GMBH	11-09-2000	
		WO	03/002065	A2	Chiron Corporation	01-09-2003	
	*	WO	2004/007743	A2	Coley Pharmaceutical GMBH	01-22-2004	
	*	WO	2004/026888	A2	Coley Pharmaceutical GMBH	04-01-2004	
	*	WO	2004/094671	A2	Coley Pharmaceutical GMBH	11-04-2004	
		WO	2005/004910	A2	Intercell Ag	01-20-2005	
		WO	2005/023289	A1	Intellectual Property Consulting Incorporated	03-17-2005	Y - Abs
	*	WO	2006/080946	A2	Coley Pharmaceutical GMBH	08-03-2006	
	*	WO	2007/031877	A2	Coley Pharmaceutical GMBH	03-22-2007	
	*	WO	2007/038720	A2	Coley Pharmaceutical GMBH	04-05-2007	

OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials ⁶	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
	*	Press Release, January 2007, "Coley Pharmaceutical Group Updates Hepatitis C Drug Development Strategy".	
	*	Press Release, June 2007, "Coley Pharmaceutical Group Announces Pfizer's Discontinuation of Clinical Trials for PF-3512676 Combined with Cytotoxic Chemotherapy in Advanced Non Small Cell Lung Cancer".	

EXAMINER:	DATE CONSIDERED:
/E.L./	02/17/2008

⁶ EXAMINER. Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /E.L./

FORM PTO-1449/A and B (modified PTO/SB/08)		APPLICATION NO.: 10/627,331		ATTY. DOCKET NO.: C1039.70078US00	
		FILING DATE: July 25, 2003		CONFIRMATION NO.: 4362	
		APPLICANT: Krieg et al.			
		GROUP ART UNIT: 1648		EXAMINER: Emily M. Le	
Sheet	6	of	12		

*	AGRAWAL et al., Medicinal chemistry and therapeutic potential of CpG DNA. Trends Mol Med. 2002 Mar;8(3):114-21.
*	BRANDA et al., B-cell proliferation and differentiation in common variable immunodeficiency patients produced by an antisense oligomer to the rev gene of HIV-1. Clin Immunol Immunopathol. 1996 May;79(2):115-21.
*	CHACE et al., Bacterial DNA-induced NK cell IFN-gamma production is dependent on macrophage secretion of IL-12. Clin Immunol Immunopathol. 1997 Aug;84(2):185-93.
*	COOPER et al., CPG 7909 adjuvant improves hepatitis B virus vaccine seroprotection in antiretroviral-treated HIV-infected adults. AIDS. 2003 Sep 23;19(14):1473-9.
*	DAVIS et al., CpG DNA overcomes hyporesponsiveness to hepatitis B vaccine in orangutans. Vaccine. 2000 Mar 17;18(18):1920-4.
	DAVIS et al., CpG ODN is safe and highly effective in humans as adjuvant to HBV vaccine. Preliminary results of Phase I trial with CpG ODN 7909. Third Annual Conference on Vaccine Res. 2000. Abstract s25, number 47.
	FIELDS et al., Fields' Virology, 2001;1:1153.
	GRAMZINSKI et al., Immune response to a hepatitis B DNA vaccine in Aotus monkeys: a comparison of vaccine formulation, route, and method of administration. Mol Med. 1998 Feb;4(2):109-18.
*	HALPERIN et al., A phase I study of the safety and immunogenicity of recombinant hepatitis B surface antigen co-administered with an immunostimulatory phosphorothioate oligonucleotide adjuvant. Vaccine. 2003 Jun 2;21(19-20):2461-7.
*	HSIEH et al., Incorporation of CpG oligodeoxynucleotide fails to enhance the protective efficacy of a subunit vaccine against Mycobacterium tuberculosis. Vaccine. 2004 Jan 26;22(5-6):655-9.
*	JACOBSON et al., Early viral response and on treatment response to CpG 10101 (ACTILON TM), in combination with pegylated interferon and/or ribavirin, in chronic HCV genotype 1 infected patients with prior relapse response. 57 th Annual Meeting of American Association for the Study of the Liver Diseases (AASLD). 2006 Oct 30, Boston, Massachusetts; Presented Abstract #96.
	JIAO et al., Enhanced hepatitis C virus NS3 specific Th1 immune responses induced by co-delivery of protein antigen and CpG with cationic liposomes. J Gen Virol. 2004 Jun;85(Pt 6):1545-53.
*	KATAOKA et al., Immunotherapeutic potential in guinea-pig tumor model of deoxyribonucleic acid from Mycobacterium bovis BCG complexed with poly-L-lysine and carboxymethylcellulose. Jpn J Med Sci Biol. 1990 Oct;43(5):171-82.
*	KOVARIK et al., CpG oligodeoxynucleotides can circumvent the Th2 polarization of neonatal responses to vaccines but may fail to fully redirect Th2 responses established by neonatal priming. J Immunol. 1999 Feb 1;162(3):1611-7.
*	KRIEG et al., Lymphocyte activation mediated by oligodeoxynucleotides or DNA containing novel un-methylated CpG motifs. American College of Rheumatology 58 th National Scientific Meeting, Minneapolis, Minnesota, October 22, 1994. Abstracts. Arthritis Rheum. 1994 Sep;37(9 Suppl).
*	KRIEG et al., Bacterial DNA or oligonucleotides containing CpG motifs protect mice from lethal L. monocytogenes challenge. 1996 Meeting on Molecular Approaches to the Control of Infectious Diseases. Cold Spring Harbor Laboratory, September 9-13, 1996:116.
*	KRIEG et al., Unmethylated CpG DNA protects mice from lethal listeria monocytogenes challenge. Vaccines. 1997; 97:77-9.
*	KRIEG et al., Infection. In: McGraw Hill Book. 1996:242-3.

EXAMINER:	DATE CONSIDERED:
/E.L./	02/17/2008

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /E.L./

FORM PTO-1449/A and B (modified PTO/SB/08)				APPLICATION NO.: 10/627,331		ATTY. DOCKET NO.: C1039.700781JS00	
				FILING DATE: July 25, 2003		CONFIRMATION NO.: 4362	
				APPLICANT: Krieg et al.			
				GROUP ART UNIT: 1648		EXAMINER: Emily M. Le	
Sheet	7	of	12				

*	KRIEG et al., Lymphocyte activation by CpG dinucleotide motifs in prokaryotic DNA. Trends Microbiol. 1996 Feb;4(2):73-6.
*	KRIEG et al., Induction of systemic TH1-like innate immunity in normal volunteers following subcutaneous but not intravenous administration of CPG 7909, a synthetic B-class CpG oligodeoxynucleotide TLR9 agonist. J Immunother. 2004 Nov-Dec;27(6):460-71.
*	KRIEG, Therapeutic potential of Toll-like receptor 9 activation. Nat Rev Drug Discov. 2006 Jun;5(6):471-84.
*	KULKARNI et al., Effect of dietary nucleotides on response to bacterial infections. JPEN J Parenter Enteral Nutr. 1986 Mar-Apr;10(2):169-71.
*	KURAMOTO et al., Induction of T-cell-mediated immunity against MethA fibrosarcoma by intratumoral injections of a bacillus Calmette-Guerin nucleic acid fraction. Cancer Immunol Immunother. 1992;34(5):283-8.
*	KURAMOTO et al., Changes of host cell infiltration into Meth A fibrosarcoma tumor during the course of regression induced by injections of a BCG nucleic acid fraction. Int J Immunopharmacol. 1992 Jul;14(5):773-82.
*	KURAMOTO et al., In situ infiltration of natural killer-like cells induced by intradermal injection of the nucleic acid fraction from BCG. Microbiol Immunol. 1989;33(11):929-40.
*	LIU et al., Recombinant interleukin-6 protects mice against experimental bacterial infection. Infect Immun. 1992 Oct;60(10):4402-6.
*	MA et al., DNA-based vaccination against hepatitis C virus (HCV): effect of expressing different forms of HCV E2 protein and use of CpG-optimized vectors in mice. Vaccine. 2002 Sep 10;20(27-28):3263-71.
*	MALANCHERE-BRES et al., CpG oligodeoxynucleotides with hepatitis B surface antigen (HbsAg) for vaccination in HbsAg-transgenic mice. J Virol. 2001 Jul;75(14):6482-91.
*	MANNIS et al., Treating viral hepatitis C: Efficacy, side effects, and complications. Gut 2006 Sep;55(9):1350-9.
	McCLUSKIE et al., CpG DNA as mucosal adjuvant. Vaccine. 2000;18: 231-7.
*	McCLUSKIE et al., Oral, intrarectal and intranasal immunizations using CpG and non-CpG oligodeoxynucleotides as adjuvants. Vaccine. 2000 Oct 15;19(4-5):413-22.
*	McCLUSKIE et al., Immunization against hepatitis B virus by mucosal administration of antigen-antibody complexes. Viral Immunol. 1998;11(4):245-52.
*	McCLUSKIE et al., Parenteral and mucosal prime-boost immunization strategies in mice with hepatitis B surface antigen and CpG DNA. FEMS Immunol Med Microbiol. 2002 Feb 18;32(3):179-85.
*	McHUTCHISON et al., Early viral response to CpG 10101, in combination with pegylated interferon and/or ribavirin, in chronic HCV genotype 1 infected patients with prior relapse response. 41 st Annual Meeting of European Association for the Study of the Liver (EASL). 2006 April 26-30, Vienna, Austria; Submitted Abstract.
*	McHUTCHISON et al., Final results of a multi-center phase IB, randomized, placebo-controlled, dose-escalation trial of CpG 10101 in patients with chronic hepatitis C virus. 41 st Annual Meeting of European Association for the Study of the Liver (EASL). 2006 April 30, Vienna, Austria; Presented Abstract #111.

EXAMINER:	DATE CONSIDERED:
/E.L./	02/17/2008

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /E.L./

FORM PTO-1449/A and B (modified PTO/SB/08)				APPLICATION NO.: 10/627,331		ATTY. DOCKET NO.: C1039.70078US00	
				FILING DATE: July 25, 2003		CONFIRMATION NO.: 4362	
				APPLICANT: Krieg et al.			
				GROUP ART UNIT: 1648		EXAMINER: Emily M. Le	
Sheet	8	of	12				

	*	McHUTCHISON et al., Early clinical results with CpG 10101, a new investigational antiviral TLR9 agonist being developed for treatment of subjects chronically infected with hepatitis C virus. 12 th International Symposium on Viral Hepatitis and Liver Disease (ISVHLD). 2006 July 3, Paris, France; Presented Abstract #0105.	
	*	MESSINA et al., The influence of DNA structure on the in vitro stimulation of murine lymphocytes by natural and synthetic polynucleotide antigens. Cell Immunol. 1993 Mar;147(1):148-57.	
	*	RANKIN et al., CpG motif identification for veterinary and laboratory species demonstrates that sequence recognition is highly conserved. Antisense Nucleic Acid Drug Dev. 2001 Oct;11(5):333-40.	
		RAZ et al., Potential role of immunostimulatory DNA sequences (ISS) in genetic immunization and autoimmunity. ACR Poster Session C: Cytokines and Inflammatory Mediators. 1996 Oct 20; Abstract 615.	
	*	SATOH et al., The study of mechanisms in CpG oligodeoxynucleotides-induced aggravation in murine allergic contact dermatitis to 2,4-dinitrofluorobenzene. Fukushima Igaku Zasshi. 2002;52(3):237-50.	
	*	SONEHARA et al., Hexamer palindromic oligonucleotides with 5'-CG-3' motif(s) induce production of interferon. J Interferon Cytokine Res. 1996 Oct;16(10):799-803.	
	*	STEIN et al., Problems in interpretation of data derived from in vitro and in vivo use of antisense oligodeoxynucleotides. Antisense Res Dev. 1994 Summer;4(2):67-9.	
	*	STEIN et al., Non-antisense effects of oligodeoxynucleotides. Antisense Technology. 1997; ch11: 241-64.	
	*	STEIN et al., Antisense oligonucleotides as therapeutic agents--is the bullet really magical? Science. 1993 Aug 20;261(5124):1004-12.	
	*	THREADGILL et al., Mitogenic synthetic polynucleotides suppress the antibody response to a bacterial polysaccharide. Vaccine. 1998 Jan;16(1):76-82.	
	*	VERTHELYI et al., CpG oligodeoxynucleotides improve the response to hepatitis B immunization in healthy and SIV-infected rhesus macaques. AIDS. 2004 Apr 30;18(7):1003-8.	
	*	WAAG et al., Injection of inactivated phase I Coxiella burnetii increases non-specific resistance to infection and stimulates lymphokine production in mice. Ann N Y Acad Sci. 1990;590:203-14.	
	*	ZHAO et al., Pattern and kinetics of cytokine production following administration of phosphorothioate oligonucleotides in mice. Antisense Nucleic Acid Drug Dev. 1997 Oct;7(5):495-502.	
	*	Patent Interference No. 105,171. Iowa Preliminary Motion 3 (for judgment based on failure to comply with 35 U.S.C. 135(b)). (Electronically filed, unsigned). June 7, 2004.	
	*	Patent Interference No. 105,171. Iowa Preliminary Motion 4 (for judgment of no interference in fact). (Electronically filed, unsigned). June 7, 2004.	
	*	Patent Interference No. 105,171. Iowa Preliminary Motion 5 (for judgment based on lack of enablement). (Electronically filed, unsigned). June 7, 2004.	
	*	Patent Interference No. 105,171. Iowa Preliminary Motion 6 (for judgment based on lack of adequate written description). (Electronically filed, unsigned). June 7, 2004.	
	*	Patent Interference No. 105,171. Iowa Preliminary Motion 7 (motion to redefine interference to designate claims as not corresponding to the Count). (Electronically filed, unsigned). June 7, 2004.	

EXAMINER: /E L./	DATE CONSIDERED: 02/17/2008
---------------------	--------------------------------

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /E.L./

FORM PTO-1449/A and B (modified PTO/SB/08) INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICATION NO.: 10/627,331		ATTY. DOCKET NO.: C1039.70078US00	
				FILING DATE: July 25, 2003		CONFIRMATION NO.: 4362	
				APPLICANT: Krieg et al.			
				GROUP ART UNIT: 1648		EXAMINER: Emily M. Le	
Sheet	9	of	12				

*	Patent Interference No. 105,171. Iowa Preliminary Motion 8 (contingent motion to redefine the Count). (Electronically filed, unsigned). June 7, 2004.	
*	Patent Interference No. 105,171. Iowa Preliminary Motion 9 (motion for benefit of earlier application). (Electronically filed, unsigned). June 7, 2004.	
*	Patent Interference No. 105,171. Iowa Preliminary Motion 10 (contingent motion to redefine the interference by adding a continuation application). (Electronically filed, unsigned). July 2, 2004.	
*	Patent Interference No. 105,171. Regents of the University of California Opposition 3 (to Iowa Preliminary Motion 3 for judgment under 35 USC 135(b)). September 9, 2004.	
*	Patent Interference No. 105,171. Regents of the University of California Opposition 4 (to Iowa Preliminary Motion 4 for judgment of no interference in fact). September 9, 2004.	
*	Patent Interference No. 105,171. Regents of the University of California Opposition 5 (to Iowa Preliminary Motion 5 for judgment that UC's claim is not enabled). September 9, 2004.	
*	Patent Interference No. 105,171. Regents of the University of California Opposition 6 (to Iowa Preliminary Motion 6 for judgment based on lack of adequate written description). September 9, 2004.	
*	Patent Interference No. 105,171. Regents of the University of California Opposition 7 (to Iowa Preliminary Motion 7 to redefine the interference). September 9, 2004.	
*	Patent Interference No. 105,171. Regents of the University of California Opposition 8 (to Iowa Preliminary Motion 8 to redefine the Count). September 9, 2004.	
*	Patent Interference No. 105,171. Regents of the University of California Response 9 (to Iowa Contingent Motion 9 for benefit). September 9, 2004.	
*	Patent Interference No. 105,171. Regents of the University of California Opposition 10 (to Iowa Contingent Motion 10 to redefine the interference). September 9, 2004.	
*	Patent Interference No. 105,171. Regents of the University of California Opposition 11 (to Iowa Contingent Motion 11 to suppress). October 15, 2004.	
*	Patent Interference No. 105,171. Iowa Reply 3 (in support of Iowa Preliminary Motion 3 for judgment under 35 U.S.C. §135(b)) (Electronically filed, unsigned). October 15, 2004.	
*	Patent Interference No. 105,171. Iowa Reply 4 (in support of Iowa Preliminary Motion for judgment of no interference in fact) (Electronically filed, unsigned). October 15, 2004.	
*	Patent Interference No. 105,171. Iowa Reply 5 (in support of Iowa Preliminary Motion 5 for judgment that UC's claim 205 is not enabled) (Electronically filed, unsigned). October 15, 2004.	
*	Patent Interference No. 105,171. Iowa Reply 6 (in support of Iowa Preliminary Motion 6 for judgment based on lack of adequate written description) (Electronically filed, unsigned). October 15, 2004.	
*	Patent Interference No. 105,171. Iowa Reply 7 (in support of Iowa Preliminary Motion 7 to redefine the interference) (Electronically filed, unsigned). October 15, 2004.	
*	Patent Interference No. 105,171. Iowa Reply 8 (in support of Iowa Preliminary Motion 8 to redefine the count) (Electronically filed, unsigned). October 15, 2004.	
*	Patent Interference No. 105,171. Iowa Reply 10 (in support of Iowa Preliminary Motion 10 to redefine the interference) (Electronically filed, unsigned). October 15, 2004.	
*	Patent Interference No. 105,171. Iowa Reply 11 (in support of Iowa Miscellaneous Motion to suppress). (Electronically filed, unsigned). October 18, 2004.	

EXAMINER: /E.L./	DATE CONSIDERED: 02/17/2008
------------------	-----------------------------

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /E.L./

FORM PTO-1449/A and B (modified PTO/SB/08) INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICATION NO.: 10/627,331	ATTY. DOCKET NO.: C1039.70078US00
				FILING DATE: July 25, 2003	CONFIRMATION NO.: 4362
				APPLICANT: Krieg et al.	
				GROUP ART UNIT: 1648	EXAMINER: Emily M. Lo
Sheet	10	of	12		

*	Patent Interference No. 105,171. Regents of the University of California Preliminary Statement. June 7, 2004.	
*	Patent Interference No. 105,171. Regents of the University of California Preliminary Motion 1 (to designate additional claims of Iowa patent as corresponding to the Count). June 7, 2004.	
*	Patent Interference No. 105,171. Regents of the University of California Preliminary Motion 2 (for judgment based on lack of written description support and introducing new matter). June 7, 2004.	
*	Patent Interference No. 105,171. Regents of the University of California Preliminary Motion 3 (for judgment based on anticipation). June 7, 2004.	
*	Patent Interference No. 105,171. Regents of the University of California Preliminary Motion 4 (for judgment based on obviousness). June 7, 2004.	
*	Patent Interference No. 105,171. Regents of the University of California Preliminary Motion 5 (for judgment based on anticipation). June 7, 2004.	
*	Patent Interference No. 105,171. Regents of the University of California Preliminary Motion 6 (for judgment based on inequitable conduct). June 7, 2004.	
*	Patent Interference No. 105,171. Regents of the University of California Contingent Preliminary Motion 7 (for benefit of an earlier application under 37 CFR 1.633(j)). July 2, 2004.	
*	Patent Interference No. 105,171. Regents of the University of California Contingent Preliminary Motion 8 (to add additional claims under 37 CFR 1.633(c)(2) and (j)). July 2, 2004.	
*	Amended Claims for Application Number 09/265,191, filed March 10, 1999.	
*	Patent Interference No. 105,171. Iowa Opposition 1 (opposition to motion to designate additional claims as corresponding to the Count) (Electronically filed, unsigned). September 9, 2004.	
*	Patent Interference No. 105,171. Iowa Opposition 2 (opposition to motion for judgment based on lack of written description support and introducing new matter) (Electronically filed, unsigned). September 9, 2004.	
*	Patent Interference No. 105,171. Iowa Opposition 3 (opposition to motion for judgment based on anticipation) (Electronically filed, unsigned). September 9, 2004.	
*	Patent Interference No. 105,171. Iowa Opposition 4 (opposition to motion for judgment based on obviousness) (Electronically filed, unsigned). September 9, 2004.	
*	Patent Interference No. 105,171. Iowa Opposition 5 (opposition to motion for judgment based on anticipation) (Electronically filed, unsigned). September 9, 2004.	
*	Patent Interference No. 105,171. Iowa Opposition 6 (opposition to motion for judgment based on inequitable conduct) (Electronically filed, unsigned). September 9, 2004.	
*	Patent Interference No. 105,171. Iowa Opposition 7 (opposition to motion for benefit of an earlier application under 7 CFR 1.633(j)) (Electronically filed, unsigned). September 9, 2004.	
*	Patent Interference No. 105,171. Iowa Opposition 8 (opposition to motion to add additional claims under 37 CFR 1.633 (2) and (j)) (Electronically filed, unsigned). September 9, 2004.	
*	Patent Interference No. 105,171. Regents of the University of California Reply 1 (to Iowa's opposition to UC's motion to designate Iowa claims as corresponding to the Count). October 15, 2004.	
*	Patent Interference No. 105,171. Regents of the University of California Reply 2 (to Iowa's opposition to UC Preliminary Motion 2 for Judgment). October 15, 2004.	
*	Patent Interference No. 105,171. Regents of the University of California Reply 3 (to Iowa's Opposition to UC Preliminary Motion 3 for Judgment). October 15, 2004.	

EXAMINER: /E.L./	DATE CONSIDERED: 02/17/2008
---------------------	--------------------------------

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to Applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /E.L./

FORM PTO-1449/A and B (modified PTO/SB/08) INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICATION NO.: 10/627,331		ATTY. DOCKET NO.: C1039.70078US00	
		FILING DATE: July 25, 2003		CONFIRMATION NO.: 4362	
		APPLICANT: Krieg et al.			
		GROUP ART UNIT: 1648		EXAMINER: Emily M. Le	
Sheet	11	of	12		

*	Patent Interference No. 105,171. Regents of the University of California Reply 4 (to Iowa's Opposition to UC Preliminary Motion 4 for Judgment). October 15, 2004.	
*	Patent Interference No. 105,171. Regents of the University of California Reply 5 (to Iowa's Opposition to UC Preliminary Motion 5 for Judgment). October 15, 2004.	
*	Patent Interference No. 105,171. Regents of the University of California Reply 6 (to Iowa's opposition to UC Preliminary Motion 6 for judgment). October 15, 2004.	
*	Patent Interference No. 105,171. Regents of the University of California Reply 7 (to Iowa's Opposition to UC Preliminary Motion 7 for Benefit). October 15, 2004.	
*	Patent Interference No. 105,171. Regents of the University of California Reply 8 (to Iowa's Opposition to UC Preliminary Motion 8 to add additional claims). October 15, 2004.	
*	Patent Interference No. 105,171. Decision on Motion under 37 CFR §41.125. March 10, 2005.	
*	Patent Interference No. 105,171. Judgment and Order. March 10, 2005.	
*	Patent Interference No. 105,171. Regents of the University of California. Brief of Appellant. July 5, 2005.	
*	Patent Interference No. 105,171. University of Iowa and Coley Pharmaceutical Group, Inc. Brief of Appellees. August 17, 2005.	
*	Patent Interference No. 105,171. Regents of the University of California. Reply Brief of Appellant. September 6, 2005.	
*	Patent Interference No. 105,171. Regents of the University of California. Decision of CAFC. July 17, 2006.	
*	Patent Interference No. 105,526. Krieg Substantive Motion 1 (for unpatentability based on interference estoppel). (Electronically filed, unsigned).	
*	Patent Interference No. 105,526. Krieg Substantive Motion 2 (for judgment based on inadequate written description and/or enablement). (Electronically filed, unsigned). June 18, 2007.	
*	Patent Interference No. 105,526. Krieg Contingent Responsive Motion (to add new claims 104 and 105). (Electronically filed, unsigned). July 25, 2007.	
*	Patent Interference No. 105,526. Krieg Substantive Motion 3 (for judgment based on prior art). (Electronically filed, unsigned). June 18, 2007.	
*	Patent Interference No. 105,526. Raz Motion 1 (Unpatentability of Krieg Claims under 35 U.S.C. § 112, First Paragraph). (Electronically filed, unsigned). June 18, 2007.	
*	Patent Interference No. 105,526. Raz Motion 2 (Raising a Threshold Issue of No Interference-in-Fact). (Electronically filed, unsigned). June 18, 2007.	
*	Patent Interference No. 105,526. Raz Motion 3 (Krieg's Claims are Unpatentable Over Prior Art Under 35 U.S.C. § 102(b)). (Electronically filed, unsigned). June 18, 2007.	
*	Patent Interference No. 105,526. Raz Motion 4 (To Designate Krieg Claims 46 and 82-84 as Corresponding to Count 1). (Electronically filed, unsigned). June 18, 2007.	
*	Patent Interference No. 105,526. Raz Responsive Miscellaneous Motion 5 (To revive the Raz Parent Application) (Electronically filed, unsigned) July 25, 2007.	
*	Patent Interference No. 105,526. Raz Contingent Responsive Motion 6 (To Add a New Claim 58) (Electronically filed, unsigned) July 25, 2007.	
*	Patent Interference No. 105,526. Krieg Opposition 1 (Opposition to Motion for Lack of Enablement and Written Description) (Electronically filed, unsigned) September 10, 2007.	

EXAMINER: /E.L./	DATE CONSIDERED: 02/17/2008
------------------	-----------------------------

* EXAMINER. Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /E.L./

FORM PTO-1449/A and B (modified PTO/SB/08) INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICATION NO.: 10/627,331		ATTY. DOCKET NO.: C1039.70078US00	
				FILING DATE: July 25, 2003		CONFIRMATION NO.: 4362	
				APPLICANT: Krieg et al.			
				GROUP ART UNIT: 1648		EXAMINER: Emily M. Le	
Sheet	12	of	12				

	*	Patent Interference No. 105,526. Krieg Opposition 2 (to Raz Motion 2) (Electronically filed, unsigned) September 10, 2007.	
	*	Patent Interference No. 105,526. Krieg Opposition 3 (To Raz Motion 3) (Electronically filed, unsigned) September 10, 2007.	
	*	Patent Interference No. 105,526. Krieg Opposition 4 (Opposition to Motion for Designating Claims 46 and 82-84 as Corresponding to the Court) (Electronically filed, unsigned) September 10, 2007.	
	*	Patent Interference No. 105,526. Krieg Opposition 6 (Opposition to Raz Contingent Responsive Motion 6) (Electronically filed, unsigned) September 10, 2007.	
	*	Patent Interference No. 105,526. Raz Opposition 1 (Opposing Krieg Substantive Motion 1) (Electronically filed, unsigned) September 10, 2007.	
	*	Patent Interference No. 105,526. Raz Opposition 2 (Opposing Krieg Substantive Motion 2) (Electronically filed, unsigned) September 10, 2007.	
	*	Patent Interference No. 105,526. Raz Opposition 4 (Opposing Krieg Contingent Responsive Motion to Add New Claims 104 and 105) (Electronically filed, unsigned) September 10, 2007.	
	*	Patent Interference No. 105,526. Krieg Reply 1 (Reply to Raz opposition 1) October 5, 2007.	
	*	Patent Interference No. 105,526. Krieg Reply 2 (Reply to Raz opposition 2) October 5, 2007.	
	*	Patent Interference No. 105,526. Krieg Reply 4 (Reply to Raz opposition 4) October 5, 2007.	
	*	Patent Interference No. 105,526. Raz Reply 1 (Reply to Krieg opposition 1) October 5, 2007.	
	*	Patent Interference No. 105,526. Raz Reply 2 (Reply to Krieg opposition 2) October 5, 2007.	
	*	Patent Interference No. 105,526. Raz Reply 3 (Reply to Krieg opposition 3) October 5, 2007.	
	*	Patent Interference No. 105,526. Raz Reply 4 (Reply to Krieg opposition 4) October 5, 2007.	
	*	Patent Interference No. 105,526. Raz Reply 6 (Reply to Krieg opposition 6) October 5, 2007.	
	*	Patent Interference No. 105,526. Krieg Miscellaneous Motion 5 (To exclude exhibits 2066, 2070, 2071, 2072, 2073, 2074, 2075, 2076 and 2078) October 9, 2007.	
	*	Patent Interference No. 105,526. Raz Opposition 5 (Opposing Krieg Miscellaneous Motion 5) October 25, 2007.	
	*	Patent Interference No. 105,526. Raz Miscellaneous Motion 7 (To exclude evidence) October 19, 2007.	
	*	Patent Interference No. 105,526. Krieg Opposition 7 (To Raz Miscellaneous Motion 7) October 25, 2007.	
	*	Patent Interference No. 105,526. Krieg Reply 5 (Reply to Raz opposition 5) October 30, 2007.	
	*	Patent Interference No. 105,526. Raz Reply 7 (Reply to Krieg opposition 7) October 30, 2007.	

*A copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. 09/630,319, filed July 31, 2000 and/or 10/187,489, filed July 2, 2002, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

[NOTE -- No copies of U.S. patents, published U.S. patent applications, or pending, unpublished patent applications stored in the USPTO's Image File Wrapper (IFW) system, are included. See 37 CFR §1.98 and 1287003163. Copies of all other patent(s), publication(s), unpublished, pending U.S. patent applications, or other information listed are provided as required by 37 CFR §1.98 unless 1) such copies were provided in an IDS in an earlier application that complies with 37 CFR §1.98, and 2) the earlier application is relied upon for an earlier filing date under 35 U.S.C. §120.]

EXAMINER: /Emily Le/	DATE CONSIDERED: 02/17/2008
----------------------	-----------------------------

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /E.L./